

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(08/19)**

Department	Audit Process	Date & Time of Audit
Construction	8.3	11-25-19 9:00 AM

Auditor(s)	Audit Objectives:
1. Michael Masisak 2. Brade Guntrum	Review process to insure compliance and improve effectiveness with ISO 9001. 2015 and organizational requirements. Verify that the QMS is effectively implemented and maintained

Name of Auditee(s)	Auditee(s) job Function
1. Paul Koza	1. Assistant District Engineer- Construction

Item(s) or areas audited
8.3 Design and development of products and services.

Auditee Comments:
○

<u>Plan approved by: (Management Representative)</u>
Seth Marshall

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Audit Criteria	
<u>External</u> requirements (questions) 1.	
<u>External</u> requirements (answers) 1.	
<u>Internal</u> requirements (questions) 1. Who is involved in performing constructability review in construction unit? 2. Where is documentation information retained and who has access to documentation?	
<u>Internal</u> requirements (answers) 1. The IIC, ACE and 10-0 Scheduling Manager. “Case by Case” Geotechnical Engineer and Structural control Engineer. 2. Consultant agreement Manager.	

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. Scheduling Manager ensures process is properly working.

Areas to consider for improvement:

1. None

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. None

Observations and auditor comments:

1. Assistant District Engineer- Construction very knowledgeable about process.

Statement of overall effectiveness of the system:

- Process is working without any known issues.

Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

