

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(01/13)**

Department	Audit Process	Date & Time of Audit
District 10 Construction Unit	8.2.3	1/11/18 2:10 PM

Auditor(s)	Audit Objectives:
1. Dave Meagher, ACE Kate Bailly	To review process and ensure compliance

Name of Auditee(s)	Auditee(s) job Function
1. Steve Geidel, P.E.	1. Construction Services Engineer

Item(s) or areas audited
8.2.3 Monitoring and Measurement of Processes

Auditee Comments:
○

<u>Plan approved by: (Management Representative)</u>
Tab Boyer

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Audit Criteria	
<u>External</u> requirements (questions) 1. How do project dashboards help the unit?	
<u>External</u> requirements (answers) 1. Dashboards track success and management of projects and track QMS process.	
<u>Internal</u> requirements (questions) 1. Are there any ways you think the internal monitoring of QMS processes could be improved? 2. Are scorecards being shared with field staff? Would this improve QMS processes?	
<u>Internal</u> requirements (answers) 1. No. 2. No, the scorecards are not shared regularly with field staff, but they are shared at the Admin staff meetings where they are reviewed once per year and analyzed for improvements. Could make this an agenda item for yearly review.	

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. The ability to track success through process.

Areas to consider for improvement:

1. Making the scorecard an agenda item for yearly review.

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. None.

Observations and auditor comments:

1. None.

Statement of overall effectiveness of the system:

- System in place seems to be effective with changes always being made for improvement.

Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

