

**PENNDOT – Engineering District 10-0  
ISO 9001 Internal Audit Report  
(01/13)**

<b>Department</b>	<b>Audit Process</b>	<b>Date &amp; Time of Audit</b>
Construction	7.5.2. 7.5.3. 7.5.4. 7.5.5	12/06/17. @ 09:00

<b>Auditor(s)</b>	<b>Audit Objectives:</b>
1. Terry Kerr 2. Brade Guntrum	Review process to ensure proper methods and compliance with documantations.

<b>Name of Auditee(s)</b>	<b>Auditee(s) job Function</b>
1. Paul Koza	1. Assistant District Executive

<b>Item(s) or areas audited</b>
7.5.2. Validation of Processes for Production and Service Provision 7.5.3. Identification and Traceability 7.5.4. Customer Property 7.5.5. Preservation of Product

<b>Auditee Comments:</b>
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<b><u>Plan approved by: (Management Representative)</u></b>
Tab Boyer

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## Audit Criteria

### External requirements (questions)

1. Do these sections still not apply to the District 10 Construction Unit?
2. Is there any reason these sections could apply to the District Construction Unit?

### External requirements (answers)

1. Sections do not apply but are in ISO unit. Section is in ISO unit to validate practices.
2. No there is no reason these sections apply because we are not responsible for building a project.

### Internal requirements (questions)

1. Do these sections have any related scope to the District 10 Construction Unit or reference documents?
2. Should any of the wording be changed in the sections.

### Internal requirements (answers)

1. No. District 10 Construction Unit does not have reference documents
2. This does not apply to District Construction Unit.

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## Overall Statement of Effectiveness of the Quality Management System

### Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. N/A to District 10 Construction Unit.

### Areas to consider for improvement:

1. N/A to District 10 Construction Unit.

### Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. N/A to District 10 Construction Unit.

### Observations and auditor comments:

1. ISO process does not apply to District 10 Construction Unit.

### Statement of overall effectiveness of the system:

- N/A to District 10 Construction Unit.

### Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

### Unit Manager Comments Including Follow-Up Action: (if any)

