

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(01/13)**

Department	Audit Process	Date & Time of Audit
Construction	7.1	2/10/15 8:00am

Auditor(s)	Audit Objectives:
1. Sam Shaffer 2. Allen Gemmell	Review process and determine conformity to QMS Manual

Name of Auditee(s)	Auditee(s) job Function
1. Paul Koza	1. ADE Construction

Item(s) or areas audited
7.1 Planning of Product Realization

Auditee Comments:
<input type="radio"/> None

<u>Plan approved by: (Management Representative)</u>
Tab Boyer

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Audit Criteria

External requirements (questions)

1. What is the process of planning project delivery?
2. Who is involved in the planning?

External requirements (answers)

1. Project delivery planning is based on the support from all units in construction to be able to prepare, execute and final a project.
2. All of the units in construction play a role in delivering a project. Communication is established by the ACE's and Unit heads in constructability reviews and continues until the completion of the project

Internal requirements (questions)

1. How are the processes of sections 7.5.1 included in Product Realization?
2. What are the quality objectives of this process?

Internal requirements (answers)

1. Product Realization is described through flow charts on how all the units correlate with each other, central office and FHWA.
2. The Current Administration's Strategic Plan sets the performance objectives to improve the quality of the final product in a cost effective manner. Central Office establishes metrics that measure the District's performance. The District establishes metrics to measure the quality of projects that roll up into the metrics from Central Office.

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. The coordination between the various construction units and central office is working effectively to produce a quality product.

Areas to consider for improvement:

1. None

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. None observed.

Observations and auditor comments:

1. Planning of Product Realization outlines how the Construction Unit works together toward a common goal.

Statement of overall effectiveness of the system:

- The system appears to be effective in reaching the goals of the Strategic Plan.

Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

