

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(01/13)**

Department	Audit Process	Date & Time of Audit
Construction Services	8.3	10/6/15

Auditor(s)	Audit Objectives:
1. Alicia Kavulic 2. Rick Elliot	To review process and ensure compliance

Name of Auditee(s)	Auditee(s) job Function
1. Steve Geidel	1. Construction Services Engineer

Item(s) or areas audited
8.3 Control of Nonconforming Product

Auditee Comments:
<input type="radio"/> none

<u>Plan approved by: (Management Representative)</u>
Tab Boyer

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Audit Criteria

External requirements (questions)

1. The process says that the Construction Unit primarily provides services. Besides inspection, what are the other important services?

External requirements (answers)

1. Other important services include finals audits, materials certification reviews, geotechnical consultation and overall control of the construction operations.

Internal requirements (questions)

1. What is an example of a non-conformance of a tangible product?
2. What type of documentation is provided when a non-conformance is discovered? Is there a process map or guidance associated with this?

Internal requirements (answers)

1. An example of non-conformance is not following a process.
2. A CPAR is the appropriate documentation of a non-conformance. The process for a CPAR involves a management review meeting.

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. none

Areas to consider for improvement:

1. none

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. The Construction Unit works to ensure that products or services which do not conform to requirements are identified.

Observations and auditor comments:

1. none

Statement of overall effectiveness of the system:

- The system appears to be effective.

Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

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