

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(02/06)**

Department	Audit Process	Date & Time of Audit
Construction	7.5.1: M-3	3/12/14 9:30 AM

Auditor(s)	Audit Objectives:
1. Betty Bottali 2. Joe Painter	Review processes for Construction Unit as described in 7.5.1, Initial Aggregate Plant Inspection – Annual

Name of Auditee(s)	Auditee(s) job Function
1. Warren Clever	1. TCIS - Materials

Item(s) or areas audited
Audit procedures that are outlined in M-3 that are involved in conducting an Initial Plant Inspection.

Auditee Comments: none

<u>Plan approved by: (Management Representative)</u>

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Audit Criteria

External requirements (questions)

1. Who determines to what standards the plants must meet?
2. Do requirements differ between aggregate plants?
3. Do we only inspect producers within our District, and if so, how do we ensure compliance of producers that ship to our contractors from outside our District?

External requirements (answers)

1. There are standards that are shown in Publication 408 and Bulletin 14. These standards also conform with others standards such as ASTM's and ASHTO's. There are also Pennsylvania standards/procedures that are defined in the Pennsylvania Test Methods Manual.
2. Requirements are the same for all aggregate plants throughout Pennsylvania.
3. We only inspect producers within our District. We rely on the other Districts to inspect the producers that are in their respective Districts.

Internal requirements (questions)

1. How often are plants required to be inspected?
2. What certifications/trainings are our Inspectors required to have to complete the plant inspections?
3. Are the plant technicians required to have any certifications/trainings?

Internal requirements (answers)

1. Plants are inspected once per year. In the case where new equipment is brought in to be used at a plant, an additional inspection may occur.
2. Our inspectors are required to be NECEPT Aggregate Technician certified, which is good for 5 years.
3. Plant technicians are required to have the same certifications as the Inspectors.

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Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

The use of PennDOT standards, as well as national standards such as the ASTM's and ASHTO's, continue to keep plants up to date with providing quality products.

Areas to consider for improvement:

none

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

No nonconformities found

Observations and auditor comments:

none

Statement of overall effectiveness of the system:

The process seems to be working well for all involved.

Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

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