

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(01/13)**

Department	Audit Process	Date & Time of Audit
District 10-0 Construction Unit	8.3 Control of Nonconforming Product	10-13-14 3:00 PM

Auditor(s)	Audit Objectives:
1. Terry Kerr, P.E. 2. Greg Schnur	

Name of Auditee(s)	Auditee(s) job Function
1. Steve Geidel, P.E.	1. Construction Services Engineer

Item(s) or areas audited

Auditee Comments:
○

<u>Plan approved by: (Management Representative)</u>
Tab Boyer

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Audit Criteria

External requirements (questions)

1. Are there any actual tangible products associated with the Construction Unit?
2. With the increase of bituminous placement, has there been an increase in non conformities in this area?

External requirements (answers)

1. Safety improvements, paving and bridge projects, documentation. All completed projects.
2. Some failures. Results in retesting. The Materials Unit gets involved and conducts an investigation.

Internal requirements (questions)

1. Have there been any CPAR's submitted recently and were they implemented?
2. Has the new process process that allows the DE to review and decide on 50% pay for Bituminous and 5% pay for concrete been added to the ISO manual and the new process been discussed?

Internal requirements (answers)

1. Yes. A process has been streamlined. Not yet reported on since it just occurred since the last management meeting.
2. No. Referenced through specifications.

PENNDOT – Engineering District 10-0 ISO 9001 Internal Audit Report

Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. Areas for improvement are tracked, information is sent out when needed.

Areas to consider for improvement:

1. None found.

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

Observations and auditor comments:

- 1.

Statement of overall effectiveness of the system:

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Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)

