

**PENNDOT – Engineering District 10-0
ISO 9001 Internal Audit Report
(01/13)**

Department	Audit Process	Date & Time of Audit
District 10-0 Construction Unit	8.3 Control of Nonconforming Product	11-20-13 @ 11 am

Auditor(s)	Audit Objectives:
1. Terry Kerr, P.E. 2. Greg Schnur	

Name of Auditee(s)	Auditee(s) job Function
1. Steve Geidel, P.E.	1. Construction Services Engineer

Item(s) or areas audited

Auditee Comments:
○

<u>Plan approved by: (Management Representative)</u>
Tab Boyer

PENNDOT – Engineering District 10-0

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Audit Criteria

External requirements (questions)

1. Is there any hierarchy between Units or a process chart for when one Unit feels a product is nonconforming and another Unit disagrees?
2. Is a log kept of all non-conforming products and services which had something disposed?

External requirements (answers)

1. No, project specific. Lots of variables such as money, time. Ultimately the ACE is to decide and whether the County can deal with it. Could work up chain of command eventually.
2. Yes. Materials, Geotech, DLCCA, Finals all have logs. Used to find areas of need for trainings or share at winter schools.

Internal requirements (questions)

1. Should there be a reference document in this section?
2. What do you do to prevent the same nonconformities from recurring again?

Internal requirements (answers)

1. Could be a reference or direct to a specific Unit's function.
2. Look for trends and then determine necessary training or send all-blast emails.

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Overall Statement of Effectiveness of the Quality Management System

Areas of strength regarding ability to meet requirements- including observed BEST Practices

1. Areas for improvement are tracked, information is sent out when needed.

Areas to consider for improvement:

1. Could add reference documents to this section.

Specific observed nonconformities (Findings): If Applicable, Follow-up Scheduled:

1. None

Observations and auditor comments:

- 1.

Statement of overall effectiveness of the system:

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Distribution of Audit Report:

- Manager of area audited
- A.D.E. Construction
- ISO Management Representative

Unit Manager Comments Including Follow-Up Action: (if any)



References and Document control need addressed, both this internal audit and the recent external audit show findings on this and both will be addresses in the Unit's response to the concerns.